

## Checklist for General requirements

This Checklist will assist you in determining if you have met the information requirements as detailed in Section 3.1 – General Requirements. All applications must include this Checklist.

### General requirements (3.1)

<input checked="" type="checkbox"/> 3.1.1 Form of application <input checked="" type="checkbox"/> <i>Application, abstracts and other key documents in English</i> <input checked="" type="checkbox"/> <i>Executive Summary (separated from main application electronically and in hard copy)</i> <input checked="" type="checkbox"/> <i>Relevant sections of Part 3 clearly identified</i> <input checked="" type="checkbox"/> <i>Pages sequentially numbered</i> <input checked="" type="checkbox"/> <i>Electronic copy (searchable)</i> <input checked="" type="checkbox"/> <i>1 hard copy</i> <input checked="" type="checkbox"/> <i>Electronic and hard copy identical</i> <input checked="" type="checkbox"/> <i>Hard copy capable of being laid flat</i> <input checked="" type="checkbox"/> <i>All references provided (in electronic and hard copy)</i>	<input checked="" type="checkbox"/> 3.1.6 Assessment procedure <input checked="" type="checkbox"/> <i>General</i> <input type="checkbox"/> <i>Major</i> <input type="checkbox"/> <i>Minor</i> <input type="checkbox"/> <i>High level health claim variation</i>  n/a 3.1.7 Confidential Commercial Information <input type="checkbox"/> <i>Confidential material separated in both electronic and hard copy</i> <input type="checkbox"/> <i>Formal request including reasons</i> <input type="checkbox"/> <i>Non-confidential summary provided</i>  <b>Not Applicable. None of the information included is considered to be CCI.</b>
<input checked="" type="checkbox"/> 3.1.2 Applicant details	n/a 3.1.8 Exclusive Capturable Commercial Benefit <input type="checkbox"/> <i>Justification provided</i>  <b>Not Applicable. Application is not expected to confer an ECCB.</b>
<input checked="" type="checkbox"/> 3.1.3 Purpose of the application	<input checked="" type="checkbox"/> 3.1.9 International and other national standards <input checked="" type="checkbox"/> <i>International standards</i> <input checked="" type="checkbox"/> <i>Other national standards</i>
<input checked="" type="checkbox"/> 3.1.4 Justification for the application <input checked="" type="checkbox"/> <i>Regulatory impact information</i> <input checked="" type="checkbox"/> <i>Impact on international trade</i>	<input checked="" type="checkbox"/> 3.1.10 Statutory Declaration
<input checked="" type="checkbox"/> 3.1.5 Information to support the application <input checked="" type="checkbox"/> <i>Data requirements</i>	<input checked="" type="checkbox"/> 3.1.11 Checklist/s provided with application <input checked="" type="checkbox"/> <i>3.1 Checklist</i> <input checked="" type="checkbox"/> <i>Any other relevant checklists for Parts 3.2-3.7</i>  <b>Relevant checklist for Standards related to substances added to food (Processing Aids – 3.3.2) is also included in the application.</b>

## Checklist for Standards related to substances added to food

This Checklist is in addition to the Checklist for Section 3.1 and will assist you in determining if you have met the information requirements as specified in Sections 3.3.1-3.3.3.

### Food Additives (3.3.1)

- |  |   |
|--|---|
| <input type="checkbox"/> A.1 Nature and technological function information | <input type="checkbox"/> B.1 Toxicokinetics and metabolism information                        |
| <input type="checkbox"/> A.2 Identification information                    | <input type="checkbox"/> B.2 Toxicity information   |
| <input type="checkbox"/> A.3 Chemical and physical properties              | <input type="checkbox"/> B.3 Safety assessments from international agencies                   |
| <input type="checkbox"/> A.4 Impurity profile                              | <input type="checkbox"/> C.1 List of foods likely to contain the food additive                |
| <input type="checkbox"/> A.5 Manufacturing process                         | <input type="checkbox"/> C.2 Proposed levels in foods   |
| <input type="checkbox"/> A.6 Specifications                                | <input type="checkbox"/> C.3 Likely level of consumption                                      |
| <input type="checkbox"/> A.7 Food labelling                                | <input type="checkbox"/> C.4 Percentage of food group to contain the food additive            |
| <input type="checkbox"/> A.8 Analytical detection method                   | <input type="checkbox"/> C.5 Use in other countries (if applicable)                           |
| <input type="checkbox"/> A.9 Additional functions                          | <input type="checkbox"/> C.6 Where consumption has changed, information on likely consumption |

### Processing Aids (3.3.2)

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> A.1 Type of processing aid           | <input checked="" type="checkbox"/> C.3. Allergenicity information of enzyme (enzyme only)                                  |
| <input checked="" type="checkbox"/> A.2 Identification information       | <input checked="" type="checkbox"/> C.4. Overseas safety Assessment Reports   |
| <input checked="" type="checkbox"/> A.3 Chemical and physical properties | <input checked="" type="checkbox"/> D.1 Information on source organism (enzyme from microorganism only)                     |
| <input checked="" type="checkbox"/> A.4 Manufacturing process            | <input checked="" type="checkbox"/> D.2 Pathogenicity and toxicity of source microorganism (enzyme from microorganism only) |
| <input checked="" type="checkbox"/> A.5 Specification information        | <input checked="" type="checkbox"/> D.3 Genetic stability of source organism (enzyme from microorganism only)               |
| <input checked="" type="checkbox"/> A.6 Analytical method for detection  | n/a E.1 Nature of genetic modification of source organism (enzyme from GM source microorganism)                             |
| n/a B.1 Industrial use information (chemical only)                       | <input checked="" type="checkbox"/> F.1 List of foods likely to contain the processing aid                                  |

n/a	B.2 Information on use in other countries (chemical only)	<input checked="" type="checkbox"/>	F.2 Anticipated residue levels in foods
n/a	B.3 Toxicokinetics and metabolism information (chemical only)	<input checked="" type="checkbox"/>	F.3 Information on likely level of consumption
n/a	B.4 Toxicity information (chemical only)	<input checked="" type="checkbox"/>	F.4 Percentage of food group to use processing aid
n/a	B.5 Safety assessments from international agencies (chemical only)	<input checked="" type="checkbox"/>	F.5 Information on residues in foods in other countries (if available)
<input checked="" type="checkbox"/>	C.1 Information on enzyme use on other countries (enzyme only)	<input checked="" type="checkbox"/>	F.6 Where consumption has changed, information on likely consumption
<input checked="" type="checkbox"/>	C.2 Toxicity information of enzyme (enzyme only)		

#### Nutritive Substances (3.3.3)

<input type="checkbox"/>	A.1 Identification information	<input type="checkbox"/>	C.2 Proposed maximum levels in food groups or foods
<input type="checkbox"/>	A.2 Chemical and physical properties	<input type="checkbox"/>	C.3 Likely level of consumption
<input type="checkbox"/>	A.3 Impurity profile information	<input type="checkbox"/>	C.4 Percentage of food group to use nutritive substance
<input type="checkbox"/>	A.4 Manufacturing process	<input type="checkbox"/>	C.5 Use in other countries (if available)
<input type="checkbox"/>	A.5 Specification information	<input type="checkbox"/>	C.6 Where consumption has changed, information on likely consumption
<input type="checkbox"/>	A.6 Analytical detection method	<input type="checkbox"/>	D.1 Nutritional purpose
<input type="checkbox"/>	A.7 Proposed food label	<input type="checkbox"/>	E.1 Need for nutritive substance
<input type="checkbox"/>	B.1 Toxicokinetics and metabolism information	<input type="checkbox"/>	E.2 Demonstrated potential deficit or health benefit
<input type="checkbox"/>	B.2 Animal or human toxicity studies	<input type="checkbox"/>	F.1 Consumer awareness and understanding
<input type="checkbox"/>	B.3 Safety assessments from international agencies	<input type="checkbox"/>	F.2 Actual or potential behaviour of consumers
<input type="checkbox"/>	C.1 List of food groups or foods likely to contain the nutritive substance	<input type="checkbox"/>	F.3 Demonstration of no adverse effects on any population groups